

17667 U.S. PTO  
09/04/03

# UTILITY PATENT APPLICATION TRANSMITTAL

☒ DUPLICATE

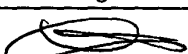
Address to: <b>Box PATENT APPLICATION</b> Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket No.	SHEU3007/EM
	First Named Inventor (or identifier)	Jyh-Rong SHEU
	Total Pages	54

Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled:	Method For Carbon Nanotube Emitter Surface Treatment
-----------	--

- ☒ 1. Submitted herewith are the following:
- 12 pages of specification, including claims and Abstract.
  - 5 sheets of FORMAL drawings (Figs. 1, 2A, 2B, 2C, 3A, 3B, 3C, 3D).
  - 10 claims.
  - 1 Oath/Declaration signed by each inventor.
  - 1 Application Data Sheet.
  - 1 Assignment of the invention to Industrial Technology Research Institute, Chutung Hsinchu, Taiwan, R.O.C.,  
Cover Sheet, and payment of the \$40 recordal fee.
  - 1 certified copy of Taiwan application no. 092112541. Priority is claimed.
  - 1 check in the amount of \$790 (\$750- Filing Fee; \$40- Assignment Recordation Fee).
- ☐ 2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: -- This application claims the benefit of provisional application number \_\_\_\_\_ filed \_\_\_\_\_. --
- ☐ 5. Insert before the first sentence of the specification: -- This application is a Continuation-in-part of nonprovisional application number \_\_\_\_\_ filed \_\_\_\_\_. --
- ☐ 6. Other: \_\_\_\_\_

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$750.00
Total Claims:	10	- 20 =	0	X \$18 =	\$0.00
Independent Claims:	2	- 3 =	0	X \$84 =	\$0.00
Correspondence Address: BACON & THOMAS, PLLC 625 Slaters Lane, 4 <sup>th</sup> Floor Alexandria, VA 22314-1176  23364 CUSTOMER NUMBER				Multiple Dependent Claim (add \$280.00):	\$0.00
				Subtotal:	\$750.00
				50% Reduction if Small Entity Status:	\$0.00
Phone: 703-683-0500 Fax: 703-683-1080				Total:	\$750.00
Date:	Name:		Signature:		Reg. No.
September 4, 2003	Eugene Mar				25,893

03945 U.S. PTO  
10/653990  
09/04/03